

06-29-01

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## NEW UNITED STATES UTILITY PATENT APPLICATION TRANSMITTAL

Atty. Docket N .: 25436/1712

CERTIFICATE OF MAILING UNDER 37 CFR 1.10

I hereby certify that the paper (and any paper or fee referred to as being enclosed) is being deposited with the United States Postal Service using Express Mail to Addressee Service, under 37 C.F.R. Section 1.10, **Express Mail Label No. EL728730905US** on this date, **June 28, 2001**, postage prepaid, in an envelope addressed to Box: Patent Application, Commissioner for Patents, Washington, D.C. 20231.

Kathleen M. Williams / DIANNE REES

Name of Person Mailing Paper

*Dianne Rees, Reg. No. 45,281, for Kathleen Williams*  
Signature of Person Mailing Paper

Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

Enclosed herewith is a new patent application of:

First Named Inventor (or application identifier): Alan L. Greener

Title of Invention: Room Temperature Stable Competent Cells

Type:



New



Continuation of:



Continuation-in-Part of:



Divisional of:

and includes the following papers:

Specification 31 pages (including specification, claims, abstract)/83 claims (2 independent)

Declaration/Power of Attorney is:

☐ attached☐ executed☐ unexecuted☒ NOT included, but deferred under 37 C.F.R. § 1.53(f).Distinct sheets of ☐ Formal ☐ Informal Drawings

4.



Preliminary Amendment.

5.



Information Disclosure Statement

☐ Form 1449☐ A copy of each cited prior art reference

6.



Assignment with Recordation Cover Sheet.

7.



Priority is hereby claimed under 35 U.S.C. § 119 based upon the following application(s):

| Country | Application Number | Date of Filing (day, month, year) |
|---------|--------------------|-----------------------------------|
| U.S.    | 60/255,726         | December 15, 2000                 |

8.



Priority is hereby claimed under 35 U.S.C. § 120 based upon the following application(s):

| Country | Application Number | Date of Filing (day, month, year) |
|---------|--------------------|-----------------------------------|
|         |                    |                                   |

9.



Priority document(s).

10.



Statement of Small Entity Status, Pursuant to 37 C.F.R. §1.27.

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Atty. Docket No.: 25436/1712

11. ☐ Microfiche Computer Program (Appendix).
12. ☐ Nucleotide and/or Amino Acid Sequence Submission.  
☐ Computer Readable Copy.  
☐ Paper Copy (identical to computer copy).  
☐ Statement verifying identity of above copies.
13. ☐ Request for Non-Publication and Certification under 35 USC 122 (b)(2)(B)(i)
14. Calculation of Fees:

| FEES FOR   | TOTAL CLAIMS     | EXCESS CLAIMS | FEE      | AMOUNT DUE       |
|--|------------------|---------------|----------|------------------|
| Basic Filing Fee (37 C.F.R. § 1.16(a))                           |                  |               |          | \$710.00         |
| Total Claims in <b>Excess of 20</b> (37 C.F.R. § 1.16(c))        | 83               | 63            | \$18.00  | \$1134.00        |
| Independent Claims in <b>Excess of 3</b> (37 C.F.R. § 1.16(b))   | 2                | 0             | \$80.00  | \$0.00           |
| Multiple Dependent Claims (37 C.F.R. § 1.16(d))                  | 3                |               | \$270.00 | \$270.00         |
| Subtotal - Filing Fee Due  |                  |               |          | \$2114.00        |
|  |                  |               |          |                  |
| Reduction by 50%, if Small Entity (37 C.F.R. §§ 1.9, 1.27, 1.28) | MULTIPLY BY 0.50 |               |          | \$1057.00        |
| <b>TOTAL FILING FEE DUE</b>                                      |                  |               |          |                  |
| Assignment Recordation Fee (if applicable) (37 C.F.R. § 1.21(h)) | 0                |               | \$40.00  | 0.00             |
| <b>GRAND TOTAL DUE</b>   |                  |               |          | <b>\$1057.00</b> |

15. PAYMENT is:  
☐ included in the amount of \_\_\_\_\_ by our enclosed check. The Commissioner is hereby authorized to charge any deficiencies in the fees to Deposit Account 16-0085, Ref. \_\_\_\_\_  
☒ not included, but deferred under 37 C.F.R. § 1.53(f).

16. All correspondence for the attached application should be directed to:  
**Kathleen M. Williams**  
**Customer Number 27495**

17. Other: Application Data Entry Sheet

Respectfully submitted,

Date: June 28, 2001

By: Dianne Rees, Reg. No. 45,281, for Kathleen Williams  
Name: Kathleen M. Williams  
Registration No.: 34,380

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Jim Jolly, et al  
Serial No.: Not Yet Assigned  
Filed: June 28, 2001  
Entitled: Room Temperature Stable Competent Cells

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**Kathleen M. Williams**

Name of Person Mailing Paper

*Dianne Rees, Reg No. 45,281 for Kathleen M. Williams*

Signature of Person Mailing Paper

Box: Patent Application  
Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL LETTER**

Enclosed for filing in the above-identified patent application, please find the following documents:

1. New Patent Application Transmittal;
2. Patent Application Specification, (31 pages);
3. Application Data Entry Sheet; and
5. Return Post Card.

Pursuant to 37 C.F.R. § 1.27, Applicant claims small entity status. Payment of the requisite filing fee has been deferred under 37 C.F.R. §1.53(f).

Respectfully submitted,

Date: June 28, 2001

*Dianne Rees, Reg No. 45,281, for Kathleen Williams*  
Kathleen M. Williams  
Registration No.: 34,380  
Customer No.: 27495  
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